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JCS25 U.S. PTO

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01/18/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Santosh C. Lolayekar et al.

SC/Serial No.: Unknown

Filed: Herewith

Title: POOLING AND PROVISIONING STORAGE
RESOURCES IN A STORAGE NETWORK

PATENT APPLICATION

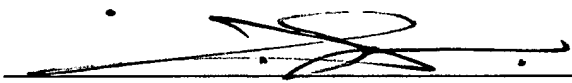
Customer No. 23910

CERTIFICATE OF MAILING BY "EXPRESS MAIL"
UNDER 37 C.F.R. §1.10

"Express Mail" mailing label number: EL670728865US

Date of Mailing: January 18, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service, utilizing the "Express Mail Post Office to Addressee" service addressed to **Box PATENT APPLICATION, Commissioner for Patents, Washington, DC 20231** and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.



Johann S. Mercado (Signature)
Signature Date: January 18, 2002

UTILITY PATENT APPLICATION TRANSMITTAL LETTER UNDER 37 C.F.R §1.53(b)

Box PATENT APPLICATION
Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application identified as follows:

Inventor(s): Santosh C. Lolayekar, Yu-Ping Cheng, Renato E. Maranon, Sanjay Saxena

Title: POOLING AND PROVISIONING STORAGE RESOURCES IN A STORAGE NETWORK

No. of pages in Specification: 54; No. of Claims: 50.

No. of Sheets of Drawings: 14; Formal: X, Informal: ____.

Also enclosed are:

- X A Declaration.
- X An Assignment and Recordation Form Cover Sheet.
- A certified copy of a priority application.
- A Power of Attorney.
- X Non-Publication Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).
- X An Information Disclosure Statement under 37 C.F.R. §1.56.

The filing fee pursuant to 37 C.F.R. §1.16 is determined as follows:

| No. Filed | No. Extra | Rate Small Entity/ Other Than Small Entity | | |
|--|-------------------|---|---|-------------------|
| Basic Fee | | \$370.00 \$740.00 | = | \$740.00 |
| Total Claims <u> 50 </u> - 20 | = <u> 30 </u> * | \$ 9.00 \$ 18.00 | = | \$540.00 |
| Independent Claims <u> 10 </u> - 3 | = <u> 7 </u> * | \$ 42.00 \$ 84.00 | = | \$588.00 |
| First Presentation of Multiple Dependent Claim(s) <u> </u> | | \$140.00 \$280.00 | = | \$0 |
| | | Total | = | <u>\$1,868.00</u> |

*If the difference is less than zero, enter "0".

- Please charge Deposit Account No. 06-1325 in the amount of \$. A duplicate copy of this authorization is enclosed.
- X A check in the amount of \$1,908.00 to cover the filing fee (\$1,868.00), and assignment recording fee (\$40.00), if applicable, is enclosed.

✓

The Commissioner is hereby authorized to charge underpayment of any additional fees (including those listed below) or credit any overpayment associated with this communication to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

✓

Any additional filing fees under 37 C.F.R. §1.16.

✓

Any patent application processing fees under 37 C.F.R. §1.17.

This application is filed pursuant to 37 C.F.R. §1.53(b) in the name of the above-identified Inventor(s).

✓

This application claims priority to an earlier-filed Provisional patent application, as set forth more fully in this application.

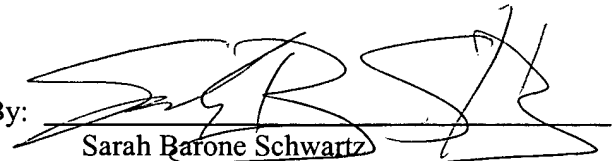
Please direct all correspondence concerning the above-identified application to the following address:

Sarah Barone Schwartz
FLIESLER DUBB MEYER & LOVEJOY LLP
Four Embarcadero Center, Fourth Floor
San Francisco, California 94111-4156
Telephone: (415) 362-3800

Respectfully submitted,

Date: January 18, 2002

By:


Sarah Barone Schwartz
Reg. No. 40,284

FLIESLER DUBB MEYER & LOVEJOY LLP
Four Embarcadero Center, Fourth Floor
San Francisco, California 94111-4156
Telephone: (415) 362-3800

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

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Johann S. Mercado

Signature Date: January 18, 2002

(Signature)

NON-PUBLICATION REQUEST AND CERTIFICATION
UNDER 35 U.S.C. 122(b)(2)(B)(i)

Box PATENT APPLICATION
Commissioner for Patents
Washington, DC 20231

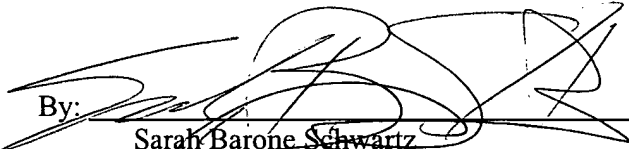
Sir:

I hereby certify that the invention disclosed in the application submitted herewith **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the application submitted herewith **not be published** under 35 U.S.C. 122(b).

Respectfully submitted,

Date: January 18, 2002

By:


Sarah Barone Schwartz
Reg. No. 40, 284

FLIESLER DUBB MEYER & LOVEJOY LLP
Four Embarcadero Center, Fourth Floor
San Francisco, California 94111-4156
Telephone: (415) 362-3800

UNITED STATES PATENT APPLICATION FOR

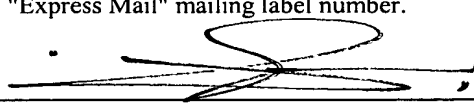
**POOLING AND PROVISIONING STORAGE RESOURCES
IN A STORAGE NETWORK**

Inventors:
Santosh C. Lolayekar
Yu-Ping Cheng
Renato E. Maranon
Sanjay Saxena

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Johann S. Mercado
Signature Date: January 18, 2002